

11/20/01

J1131 U.S. PTO

J11046 U.S. PTO

09/08591

11/20/01

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
SON-0518US**To the Commissioner of Patents:**

Transmitted herewith for filing is the patent application of:

Kazuhiro SAKATA

corresponding to Japanese application 357758/2000, filed  
November 24, 2000,entitled: NOTIFICATION SYSTEM, NOTIFICATION SERVER, NOTIFICATION  
METHOD, AND RECORDING MEDIUM RECORDING NOTIFICATION PROGRAM

## Enclosed are:

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 22 pages of specification.  |
| <input checked="" type="checkbox"/> | 7 sheets of formal drawings.  |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor.   |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application<br>Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application,<br>from which a copy of the oath or declaration is supplied as indicated in the<br>preceding box, is considered as being part of the disclosure of the accom-<br>panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment<br>cover sheet.   |
| <input checked="" type="checkbox"/> | Information Disclosure Statement with Form PTO-1449.  |
| <input checked="" type="checkbox"/> | copies of the Information Disclosure Statement citations.   |
| <input type="checkbox"/>            | preliminary amendment.  |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.  |
| <input type="checkbox"/>            | a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  |
| <input type="checkbox"/>            | applicant claim small entity status.  |
| <input checked="" type="checkbox"/> | a certified copy of the Japanese Priority Document.   |
| <input checked="" type="checkbox"/> | other: Application Data Sheet and Abstract.   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-  
tion.☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Customer No. 000466.  |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street,<br>Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.   |

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(continued)

Docket No.  
SON-0518US**CLAIMS AS FILED**

|                                     | NO. FILED | NO. EXTRA | RATE   | FEE    |
|-------------------------------------|-----------|-----------|--------|--------|
| BASIC FEE                           |           |           | \$ 740 | \$ 740 |
| TOTAL CLAIMS                        | 14 - 20 = | 0         | X\$ 18 | 0      |
| INDEPENDENT CLAIMS                  | 7 - 3 =   | 4         | X\$ 84 | 336    |
| MULTIPLE DEPENDENT CLAIM<br>PRESENT |           |           | \$ 270 |        |


**TOTAL** \$1,076

If applicant has small entity status under 37  
CFR 1.9 and 1.27, then divide total fee by 2,  
and enter amount here.

**SMALL ENTITY  
TOTAL**

\$

- ☒ A check in the amount of \$1,116 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
- ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.

  
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November 20, 2001